

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Declaration and Power of Attorney

UCT 1 1 2000

OFFICE OF PETITIONS
DEPUTY A/O PATENTS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I hereby claim the benefit under Title 35, United States Code, 119(e) of any United States provisional application(s) identified below:

Provisional application No. 60/089967, filed on June 19, 1998.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Gain Tilt Control With Mid-Stage**Attenuators In Erbium-Doped Fiber Amplifiers the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by th first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

International

Application No.

Filing Date

Status

PCT/US99/13812

18 June 1999

Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful fals statements and the lik so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

Thomas J. Bean	(Reg. No. 44528)
Lester H. Birnbaum	(Reg. No. 25830)
Richard J. Botos	(Reg. No. 32016)
Jeffery J. Brosemer	(Reg. No. 36096)
Kenneth M. Brown	(Reg. No. 37590)
Craig J. Cox	(Reg. No. 39643)
Donald P. Dinella	(Reg. No. 39961)
Guy H. Eriksen	(Reg. No. 41736)
Martin I. Finston	(Reg. No. 31613)
William S. Francos	(Reg. No. 38456)
Barry H. Freedman	(Reg. No. 26166)
Julio A. Garceran	(Reg. No. 37138)
Jimmy Goo	(Reg. No. 36528)
Anthony Grillo	(Reg. No. 36535)
Stephen M. Gurey	(Reg. No. 27336)
John M. Harman	(Reg. No. 38173)
Matthew J. Hodulik	(Reg. No. 36164)
Michael B. Johannesen	(Reg. No. 35557)
Mark A. Kurisko	(Reg. No. 38944)
Irena Lager	(Reg. No. 39260)
John B. MacIntyre	(Reg. No. 41170)
Christopher N. Malvone	(Reg. No. 34866)
Scott W. McLellan	(Reg. No. 30776)
Martin G. Meder	(Reg. No. 34674)
John C. Moran	(Reg. No. 30782)
Michael A. Morra	(Reg. No. 28975)
Gregory J. Murgia	(Reg. No. 41209)
Claude R. Narcisse	(Reg. No. 38979)
Joseph J. Opalach	(Reg. No. 36229)
Neil R. Ormos	(Reg. No. 35309)
Eugen E. Pacher	(Reg. No. 29964)
Jack R. Penrod	(Reg. No. 31864)
Gregory C. Ranieri	(Reg. No. 29695)
Scott J. Rittman	(Reg. No. 39010)
Ferdinand M. Romano	(Reg. No. 32752)
Eugene J. Rosenthal	(Reg. No. 36658)
Bruce S. Schneid r	(Reg. No. 27949)
Ronald D. Slusky	(Reg. No. 26585)
David L. Smith	(R g. No. 30592)

Ozer N. T it Ibaum (R g. No. 36668)
John P. V schi (Reg. No. 39058)
David Vol jnicek (R g. No. 29355)
Charles L. Warren (Reg. No. 27407)
Jeffrey M. Weinick (Reg. No. 36304)
Eli Weiss (Reg. No. 17765)

Please address all correspondence to the Docket Administrator (Rm. 3C-512), Lucent Technologies Inc., 600 Mountain Avenue, P. O. Box 636, Murray Hill, New Jersey 07974-0636. Telephone calls should be made to Jeffery J Brosemer by dialing 732-949-6710.

Full name of 1st joint inventor: Atul Kumar Srivastava

Inventor's signature	April Ky	his makes	Date 4	15/	2000

Residence: Eatontown, Monmouth County, New Jersey

Citizenship: India

Post Office Address: 111B White Street

Eatontown, New Jersey, 07724

Full name of 2nd inventor: James William Sulhoff

Inventor's signature Date 2/14/2000

Residence: Odean, Monmouth County, New Jersey

Citizenship: United States of America

Post Office Address: 1147 Deal Road

Ocean, New Jersey, 07712

Full name of 3rd inventor: Yan Sun

Inventor's signature Date $\frac{2/18/70}{2}$

Residence: Middletown, Monmouth County, New Jersey

Citizenship: China

Post Office Address: 908 Knollwood Drive

Middletown, New Jersey, 07748

Full nam of 4 th inventor: Charles L. Wolf
Inventor's signature May Date 4/17/2000
Residence: Oceanport, Monmouth County, New Jersey
Citizenship: United States of America
Post Office Address: P.O. Box #101 Oceanport, New Jersey, 07757
Full name of 5 th inventor: Jianhui Zhou
Inventor's signature
Residence: Freehold, Monmouth County, New Jersey
Citizenship: China
Post Office Address: 119 Kentucky Way Freehold, New Jersey, 07728
Full name of 6 th inventor: John Lehrer Zyskind
Inventor's signature Date
Residence: Hummelstown, Dauphin County, Pennsylvania
Citizenship: United States of America
Post Office Address: 670 Pheasant Run Road Hummelstown, Pennsylvania, 17036

Full nam of 4th inventor: Charles L. Wolf	
Inventor's signature	Dat
Residence: Oceanport, Monmouth Co	ounty, New Jersey
Citizenship: United States of America	
Post Office Address: P.O. Box #101 Oceanport, Ne	w Jersey, 07757
Full name of 5 th inventor: Jianhui Zhou	*/ /
Inventor's signature	Date 4/27/00
Residence: Freehold, Monmouth Cou	
Citizenship: China	
Post Office Address: 119 Kentucky V Freehold, New	•
Full name of 6 th inventor: John Lehrer Zys	kind
Inventor's signature	Date
Residence: Hummelstown, Dauphin C	County, Pennsylvania
Citizenship: United States of America	
Post Office Address: 670 Pheasant Hummelstown,	Run Road Pennsylvania, 17036

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: P	lease record the attached original documents or copy thereof.				
1. Name of conveying party(ies): 1. Atul Kumar Srivastava 2. Jam s William Sulhoff 3. Yan Sun 4. Charles L. Wolf 5. Jianhui Zhou 6. John Lehrer Zyskind Additional name(s) of conveying party(ies) attached? □ Yes ⊠No	2. Name and address of receiving party(ies): Name: Lucent Technologies Inc. Internal Address: Street Address: 600 Mountain Avenue, P. O. Box 636				
3. Nature of conveyance:	City: Murray Hill State: NJ Zip: 07974-0636				
☑ Assignment☐ Merger☐ Change of Name	Additional name(s) & address(es) attached? Yes No				
Other	RECEIVED				
Execution Date: Jan. 4. Application number(s) or patent number(s):	UCT 1 1 2000				
OFFICE OF PETITIONS If this document is being filed together with a new application, the execution date of the application is DEPUTY A/O PATENTS A. Pat nt Application No.(s) B. Patent No. (s) PCT/US99/13812					
Additional numbers attaction. 5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:				
Nam : <u>Docket Administrator</u>	7. Total fee (37 CFR 3.41) \$\$40.00				
Internal Address: Room 3C-512	☐ Enclosed				
Street Address: 600 Mountain Avenue	Lindosed				
D. O. Davida	□ Authorized to be charged to deposit account				
P. O. Box 636 City: Murray Hill, State: New Jersey, Zip: 07974-0636	8. Deposit account number: 12-2325				
	(Attach duplicate copy of this page if paying by deposit account)				
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing inforcepy of the original document. Jeffery J. Brosemer- Reg. No. 36096 Name of Person Signing	Transition is true and correct and any attached copy is a true January 25, 2000 Date				
1 9-19-1-1-1					

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D. C. 20231

ASSIGNMENT AND AGREEMENT

For value received, we, Atul Kumar Srivastava, of Eatontown in the County of Monmouth and the State of New Jersey, and James William Sulhoff, of Ocean in the County of Monmouth and the State of New Jersey, and Yan Sun, of Middletown in the County of Monmouth and the State of New Jersey, and Charles L. Wolf, of Oceanport in the County of Monmouth and the State of New Jersev, and Jianhui Zhou, of Freehold in the County of Monmouth and the State of New Jersey, and John Lehrer Zyskind, of Hummelstown in the County of Dauphin and the State of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to Gain Tilt Control With Mid-Stage Attenuators In Erbium-Doped Fiber Amplifiers described in an application for Letters Patent of the United States, Serial No. 60/089967, filed June 19, 1998, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or oth r forms of protection.

Atul Kumar Srivastava

Date: 2/15/2000

United States of America)

State of New Jersey) ss .:

County of monmouth

Notary Public

MARGARET CARDOSO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Dec. 02, 2003

James William Sulhoff
Date: 7/6/2000
United States of America)
State of New Tersey) ss.: County of Morrow)
County of MINNITUDE)
On this, 2000, before
me personally came, James William Sulhoff to me known to be the individual described in and who executed the foregoing instrument, and acknowledged
execution of the same. Notary Public

LOURDES CHESAL
Notary Public State of New Jersey
My Commission Expires 4/24/2001

Date: 2/18/00

United States of America)

State of Now Jersey) ss.:
County of Moximouth)

On this 8 day of Libruary ,20 00, before me personally came, Yan Sun to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

MARGARET CARDOSO NOTARY PUBLIC OF NEW JERSEY My Commission Expires Dec. 02, 2003 Charles L. Wolf

Date: 4 17 3000

United States of America)

State of NEW JEASEM) ss.: 051-30-7571

County of MONMOUTH)

On this 17 day of APRIL ,2000, before me personally came, Charles L. Wolf to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Jianhui Zhou

Date: $\frac{4/27/00}{}$

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United States of America)

OFFICE OF PETITIONS
DEPUTY A/O PATENTS

State of (alifornia) ss.:

County of Santa Clara



Notary Public

John Lehrer Zyskii	nd
)	
) ss.:	
)	
n Lehrer Zyskind to me knoecuted the foregoing instrum	wn to be the individual nent, and acknowledged
	•

Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636

ATTACHMENT "B"







Jeffery J. Brosemer, Ph.D.

Corporate Counsel

Lucent Technologies Inc. Room 3K-223 101 Crawfords Corner Road Holmdel, NJ 07733

Telephone 732 949 6710 Facsimile 732 949 0292 E Mail jeffy@lucent.com

RECEIVED

UUI 1 1 ZUUU

January 18, 2000

OFFICE OF PETITIONS
DEPUTY A/O PATENTS

EJ049980127US

Mr. J. L. Zyskind Sycamore Networks 10 Elizabeth Drive Chelmsford, Massachusetts 01824

Re: A. K. Srivastava 14-21-13-1-4-28

Dear John:

Enclosed please find the "Assignment and Agreement", and "Declaration and Power of Attorney" documents with regard to the above referenced patent application for your signature.

Please note that the "Assignment and Agreement" document must be notarized. Please sign your name as it appears using blue ink.

As these documents are due to the USPTO by February 19, 2000; please return to me as soon as possible in the self-addressed prepaid Express Mail envelope.

If you have any questions, please contact me at 732-949-6710.

Thank you.

Very truly yours,

Jeffery J. Brosemer/sl

Encs.

ASSIGNMENT AND AGREEMENT

For value received, we, Atul Kumar Srivastava, of Eatontown in the County of Monmouth and the State of New Jersey, and James William Sulhoff, of Ocean in the County of Monmouth and the State of New Jersey, and Yan Sun, of Middletown in the County of Monmouth and the State of New Jersey, and Charles L. Wolf, of Oceanport in the County of Monmouth and the State of New Jersey, and Jianhui Zhou, of Freehold in the County of Monmouth and the State of New Jersey, and John Lehrer Zyskind, of Hummelstown in the County of Dauphin and the State of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to Gain Tilt Control With Mid-Stage Attenuators In Erbium-Doped Fiber Amplifiers described in an application for Letters Patent of the United States, Serial No. PCT/US99/13812, filed June 18, 1999, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree when requested, we shall, without large to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convinient in connection with said applications, patents, or oth in forms of protection.

	Atul	Kumar Srivastava		•
Date:		÷		
United States of Am	erica)			
State of) ss.:			
County of)			
On this me personally came described in and wh execution of the sam	o executed the fore	egoing instrument,	,19 to be the indi and acknowle	, before ividual edged
		Notary Public		

	James	William Sulhoff	
Date:			
United States of America)		
State of) ss.:		
County of)		
On this	cuted the foreg	oing instrument, and	
	•	Notary Public	

	Yan	Sun		
Date:				
United States of A	merica)			
State of) ss.:			
County of)			
me personally carr	ne, Yan Sun to me k	nown to be the indiv nt, and acknowledge	ridual desc	cribed in and
		Notary Public		

	Charles L. Wolf		_
Date:			
United States of America)		
State of) ss.:		
County of)		
On this me personally came, Cha in and who executed the the same.	_ day of rles L. Wolf to me known to be the foregoing instrument, and acknow	ne individua	Il described
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	 Jianhu	i Zhou		_
Date:				
United States of Am	erica)			
State of) ss.:			
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		Notary Public		·

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John	Lehrer	Zyskind	

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United States of An	nerica)			
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		Notary Publ	ic	

Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636







Jeffery J. Brosemer, Ph.D.

Corporate Counsel

Lucent Technologies Inc. Room 3K-223 101 Crawfords Corner Road Holmdel, NJ 07733

April 13, 2000

Telephone 732 949 6710 Facsimile 732 949 0292 E Mail jeffy@lucent.com

EJ049984574US

EJ049984565US

Mr. J. L. Zyskind Sycamore Networks 10 Elizabeth Drive Chelmsford, Massachusetts 01824

Re: A. K. Srivastava 14-21-13-1-4-28

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Please return to me as soon as possible in the self-addressed prepaid Express Mail envelope. These papers must be filed with the USPTO and other foreign patent offices as soon as possible.

If you have any questions, please contact me at 732-949-6710.

Thank you.

Very truly yours,

Jeffery J. Brosemer/sl

Encs.



For value received, we, Atul Kumar Srivastava, of Eatontown in the County of Monmouth and the State of New Jersey, and James William Sulhoff, of Ocean in the County of Monmouth and the State of New Jersey, and Yan Sun, of Middletown in the County of Monmouth and the State of New Jersey, and Charles L. Wolf, of Oceanport in the County of Monmouth and the State of New Jersey, and Jianhui Zhou, of Freehold in the County of Monmouth and the State of New Jersey, and John Lehrer Zyskind, of Hummelstown in the County of Dauphin and the State of Pennsylvania, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to Gain Tilt Control With Mid-Stage Attenuators In Erbium-Doped Fiber Amplifiers described in an application for Letters Patent of the United States. Serial No. 60/089967, filed June 19, 1998, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

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Atul Kumar Srivastava

Date: 2/15/2000

United States of America)

State of Neur Jersey) ss .:

County of Fronmouth

Notary Public

MARGARET CARDOSO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Dec. 02, 2008

James William

Date: = -/6/220

United States of America)

State of

) ss.

County of Minmuth)

On this

day of

, befor

me personally came, James William Sulhoff to me known to be the individual described in and who executed the foregoing instrument, and acknowledged

execution of the same.

Notary Public

LOURDES CHESAL

Notary Public State of New Jersey
My Commission Expires 4/24/2001

Date: 2/18/00

United States of America)

State of Now Gersey) ss.:
County of Mox mouth)

me personally came, Yan Sun to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

MARGARET CARDOSO NOTARY PUBLIC OF NEW JERSEY My Commission Expires Dec. 02, 2003

	Charle	s L. Wolf		_
Date:				
United States of Am	nerica)			
State of) ss.:			
County of)			
me personally came	day of e, Charles L. Wolf to n d the foregoing instru	ne known to be t	the individua	al described
		Notary Public		

	Jianhui Zh	ou	
Date:	· ······		
United States of Am	erica)		
State of) ss.:		
County of)		
me personally came	day of , Jianhui Zhou to me kno he foregoing instrument,	wn to be the individual	described in
	Not	ary Public	

	Johr	n Lehrer Zyskind	
Date:	_		
United States of Amer	rica)		
State of) ss.:		
County of)		
On this me personally came, or described in and who execution of the same	lohn Lehrer Zyslexecuted the for	kind to me known t	dividual
		Notary Public	

Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Declaration and Power of Attorn v

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As a below named inventor, I hereby declare that:

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I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

International

Application No. Filing

Filing Date

Status

PCT/US99/13812

18 June 1999

Pending

I h reby declare that all statements made herein of my own knowledge ar tru and that all statem nts mad on information and beli f are beli ved to be true; and further that these statements were mad with the knowledge that willful false statem nts and the lik so mad ar punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false stat ments may j opardize th validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

Thomas J. Bean	(Reg. No. 44528)
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Jeffery J. Brosemer	(Reg. No. 36096)
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Craig J. Cox	(Reg. No. 39643)
Donald P. Dinella	(Reg. No. 39961)
Guy H. Eriksen	(Reg. No. 41736)
Martin I. Finston	(Reg: No. 31613)
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Julio A. Garceran	(Reg. No. 37138)
Jimmy Goo	(Reg. No. 36528)
Anthony Grillo	(Reg. No. 36535)
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John M. Harman	(Reg. No. 38173)
Matthew J. Hodulik	(Reg. No. 36164)
Michael B. Johannesen	(Reg. No. 35557)
Mark A. Kurisko	(Reg. No. 38944)
irena Lager	(Reg. No. 39260)
John B. MacIntyre	(Reg. No. 41170)
Christopher N. Malvone	(Reg. No. 34866)
Scott W. McLellan	(Reg. No. 30776)
Martin G. Meder	(Reg. No. 34674)
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Claude R. Narcisse	(Reg. No. 38979)
Joseph J. Opalach	(Reg. No. 36229)
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